

Practitioner's Docket No. 2002JP318

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tadashi SUZUKI et al.

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JUN 30 2005

Examiner:

Application No.: 10/533,428

Group No.: 1762

Filed: 04/29/2005

For: POLYSILANE-CONTAINING COATING SOLUTION

Commissioner for Patent  
P.O. Box 1450  
Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

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Applicant: Tadashi SUZUKI et al.  
Serial No. 10/533,428  
Filed 04/29/2005  
Page 2

Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
  - 1a. ☒ Form PTO-1449 (PTO/SB/08a and/or PTO/SB/08b).
  - 1b. ☒ Copies of Information Disclosure Statement citations.
  - 1c. ☒ PCT or EP Search Report .PCT/EP 03/11614, Mailed 01/30/2004
  - 1d. ☐ Copies of Co-pending U.S. Applications.
2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2080.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.
7. ☐ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.
8. ☐ In addition to the documents cited, the Office's attention is directed to the following co-pending U.S. Application Serial Number(s), copies of which are attached for the convenience of the Office:

Respectfully submitted,

  
Richard P. Silverman, Registration No. 36,277

CUSTOMER NO. 25,255

Clariant Corporation, Industrial Property Department, 4000 Monroe Road, Charlotte, North Carolina 28205  
Telephone: 704/331-7156, Facsimile: 704/331-7707

PTO/SB/D8a (08-03)

Approved for use through 07/31/2008. OMB 0651-0031  
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

**Complete If Known**

Application Number	10/593,428
Filing Date	04/29/2005
First Named Inventor	Tadashi SUZUKI
Art Unit	1762
Examiner Name	
Attorney Docket Number	2002JP318

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	US- 6,426,127	06/30/2002	Ross et al.	
	AB	US- 6,083,860	07/04/2000	Matsuo	
	AC	US- 4,722,988	02/02/1988	Porte	
	AD	US- 6,383,641	05/07/2002	Kondou	
	AE	US- 5,885,654	03/23/1998	Hagiwara	
	AF	US- 20,020,015,851	02/07/2002	Higuchi	
	AG	US-			
	AH	US-			
	AI	US-			
	AJ	US-			
	AK	US-			
	AL	US-			
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	AP	US-			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	BA					<input type="checkbox"/>
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		Application Number	10/533,428
		Filing Date	04/29/2005
		First Named Inventor	Tadashi SUZUKI
		Art Unit	1782
		Examiner Name	
Sheet 2	of 2	Attorney Docket Number	2002JP318

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	English Language Abstract of JP 09316340, published 12/9/1997	<input type="checkbox"/>
	CB		<input type="checkbox"/>
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